

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
8 November 2001 (08.11.2001)

PCT

(10) International Publication Number
WO 01/83029 A1

(51) International Patent Classification⁷: **A61N 1/378**

RIEKELS, James, E.; 8615 Hopewood Lane North, New Hope, MN 55427 (US).

(21) International Application Number: **PCT/US01/01694**

(22) International Filing Date: 18 January 2001 (18.01.2001)

(74) Agents: WALDKOETTER, Eric et al.; Medtronic, Inc., 7000 Central Avenue NE, Minneapolis, MN 55432 (US).

(25) Filing Language:

English

(81) Designated State (national): JP.

(26) Publication Language:

English

(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

(30) Priority Data:

09/562,221

28 April 2000 (28.04.2000) US

Published:

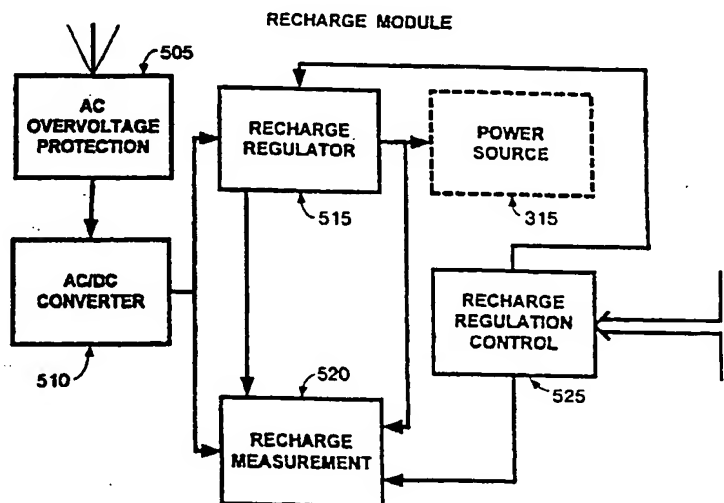
— with international search report

(71) Applicant: **MEDTRONIC, INC.** [US/US]; 7000 Central Avenue NE, Minneapolis, MN 55432 (US).

(72) Inventors: **TORGERSON, Nathan, A.**; 13618 Bittersweet Street Northwest, Andover, MN 55304 (US).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: **BATTERY RECHARGE MANAGEMENT FOR AN IMPLANTABLE MEDICAL DEVICE**



310

(57) Abstract: An implantable medical device having an implantable power source such as a rechargeable lithium ion battery. The implantable medical device includes a recharge module that regulates the recharging process of the implantable power source using closed-loop feedback control. The recharging module includes a recharge regulator, a recharge measurement device monitoring at least one recharge parameter, and a recharge regulation control unit for regulating the recharge energy delivered to the power source in response to the recharge measurement device. The recharge module adjusts the energy provided to the power source to ensure that the power source is being recharged under safe levels.

WO 01/83029 A1

BATTERY RECHARGE MANAGEMENT FOR AN IMPLANTABLE MEDICAL DEVICE

FIELD OF INVENTION

5 This invention relates generally to implantable medical devices, and more particularly to a battery recharge management system for implantable medical devices.

DESCRIPTION OF THE RELATED ART

10 The medical device industry produces a wide variety of electronic and mechanical devices for treating patient medical conditions. Depending upon the medical condition, medical devices can be surgically implanted or connected externally to the patient receiving treatment. Physicians use medical devices alone or in combination with drug therapies to treat patient medical conditions. For some medical conditions, medical devices provide the best, and sometimes the only, therapy to restore an individual to a more healthful condition and a fuller life.

15 Implantable medical devices are commonly used today to treat patients suffering from various ailments. Implantable medical devices can be used to treat any number of conditions such as pain, incontinence, movement disorders such as epilepsy and Parkinson's disease, and sleep apnea. Additional therapies appear promising to treat a variety of physiological, psychological, and emotional conditions. As the number of implantable medical device therapies has expanded, greater demands have been placed on the implantable medical device.

20 One type of implantable medical device is an Implantable Neuro Stimulator (INS). The INS delivers mild electrical impulses to neural tissue using an electrical lead. The neurostimulation targets desired neural tissue to treat the ailment of concern. For example, in the case of pain, electrical impulses (which are felt as tingling) may be directed to cover the specific sites where the patient is feeling pain. Neurostimulation can give patients effective pain relief and can reduce or eliminate the need for repeat surgeries and the need for pain medications.

25 Implantable medical devices such as neurostimulation systems may be partially implantable where a battery source is worn outside the patient's body. This system requires a coil and/or an antenna to be placed on the patient's skin over the site of the receiver to provide energy and/or control to the implanted device. Typically, the medical device is totally implantable where the battery is part of the implanted device. The physician and patient may

control the implanted system using an external programmer. Such totally implantable systems include, for example, the Itrel® 3 brand neurostimulator sold by Medtronic, Inc. of Minneapolis, Minnesota.

5 In the case of an INS, for example, the system generally includes an implantable neurostimulator (INS) (also known as an implantable pulse generator (IPG)), external programmer(s), and electrical lead(s). The INS is typically implanted near the abdomen of the patient. The lead is a small medical wire with special insulation. It is implanted next to the spinal cord through a needle and contains a set of electrodes (small electrical contacts) through which electrical stimulation is delivered to the spinal cord. The lead is coupled to the INS via
10 an implanted extension cable. The INS can be powered by an internal source such as a battery or by an external source such as a radio frequency transmitter. The INS contains electronics to send precise, electrical pulses to the spinal cord, brain, or neural tissue to provide the desired treatment therapy. The external programmer is a hand-held device that allows the physician or patient to optimize the stimulation therapy delivered by the INS. The external programmer
15 communicates with the INS using radio waves.

In totally implantable systems, it is desirable to efficiently and effectively recharge the implanted battery source. One such technique is the use of a replenishable, high capacitive power source as disclosed, for example, in U.S. Patent No. 5,807,397. This reference is incorporated herein by reference in its entirety. These capacitive power sources require that
20 they be replenished frequently, a daily or other periodic basis, via an external, RF coupled device. This frequent replenishment of the capacitive power source, however, gives rise to a host of disadvantages, such as the frequent and continuous need for an available external, RF coupled device to replenish the capacitive power source, as well as the inconvenience of frequent replenishment of the capacitive power source.

25 An alternative such rechargeable power source is a rechargeable battery, such as a lithium ion battery. The rechargeable battery provides a number of advantages over the capacitive power source including (1) less frequent replenishment; and (2) a smaller size and weight. Each of these advantages improves the quality of life of the patient. In this regard, U.S. Patent No. 5,991,665 discloses an energy transfer system for transmitting energy to an
30 implantable medical device. This reference is incorporated herein by reference in its entirety.

Although the rechargeable battery provides distinct advantages over the capacitive power source, care must be taken in the recharging process so as to maintain the battery's storage capacity and efficacy. For example, recharge of the battery at too high a voltage can damage the battery. Similarly, too high a recharge current can also be harmful to the battery. Damage may also result if the temperature of the INS increases significantly during recharge. Damage to the battery results in reduced efficacy, thereby requiring more frequent recharging, and even eventual replacement of the battery (by surgically explanting the INS). On the one hand, it is undesirable to overheat and damage the battery if it is charged too rapidly. On the other hand, however, it is desirable to charge the battery efficiently to minimize the charging time and improve patient quality of life.

Accordingly, it is desirable to provide a recharge management system for an implantable medical device that preserves the efficacy of the battery during recharge as well as provides efficient recharging of the battery.

SUMMARY OF THE INVENTION

In a preferred embodiment, the present invention is a recharge management system for an implantable medical device having a rechargeable power source such as a lithium ion battery, a recharge coil associated with the implantable device capable of receiving via telemetry magnetic recharge energy from an external device, a recharge regulator receiving the magnetic recharge energy from the recharge coil and providing the recharge energy to the rechargeable power source, a recharge measurement device monitoring at least one recharge parameter, and a recharge regulation control unit for regulating the recharge energy delivered to the power source in response to the recharge measurement device. The recharge measurement device measures voltage and current levels being delivered by the external source, voltage and current levels being delivered to the power source, and the energy level of the power source. These measurements are provided to the recharge regulation control unit to adjust the energy provided to the power source to ensure that the power source is being recharged under safe levels. The temperature of the implantable medical device is also monitored. The recharge management system may further include an overvoltage protection circuit to limit the recharge energy delivered to the recharge regulator from the recharge coil and an AC to DC converter for converting the recharge energy from the recharge coil.

The present invention may be implemented within any number of implantable medical devices that require a self-contained rechargeable power source including, but not limited to, an implantable signal generator, an implantable drug pump, a pacemaker, a defibrillator, a cochlear implant, and an implantable diagnostic device.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates an implantable medical device in accordance with a preferred embodiment of the present invention, as implanted in a human body;

Figures 2A-D illustrates locations where the implantable medical device of the present invention can be implanted in the human body other than the location shown in Figure 1;

Figure 3 is a schematic block diagram of an INS in accordance with a preferred embodiment of the present invention;

Figure 4 depicts a general operation flowchart of the INS 14 in accordance with a preferred embodiment of the present invention;

Figure 5 is a schematic block diagram of the recharge module in accordance with a preferred embodiment of the present invention; and

Figure 6 is a flow chart depicting the operation of the recharge module 310 in accordance with a preferred embodiment of the present invention.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention is a battery recharge management system and method for an implantable medical device. The recharge management system regulates the recharge energy that is provided to the battery during recharge by limiting the current into the battery, ensuring against overcharging of the battery, ensuring a minimum and a maximum input voltage during recharge, and ensuring against overheating of the implantable medical device. In the preferred embodiment, the present invention is implemented within an implantable neurostimulator, however, those skilled in the art will appreciate that the present invention may be implemented generally within any implantable medical device having an implanted rechargeable battery including, but not limited to, implantable drug delivery devices, implantable devices providing stimulation and drug delivery, pacemakers, defibrillators, cochlear implants, and implantable diagnostic devices for detecting bodily conditions of certain organs, like the brain or the heart.

Figure 1 shows the general environment of an Implantable Neuro Stimulator (INS) medical device 14 in accordance with a preferred embodiment of the present invention. The neurostimulation system generally includes an INS 14, a lead 12, a lead extension 20, an External Neuro Stimulator (ENS) 25, a physician programmer 30, and a patient programmer 35.

5 The INS 14 preferably is a modified implantable pulse generator that will be available from Medtronic, Inc. with provisions for multiple pulses occurring either simultaneously or with one pulse shifted in time with respect to the other, and having independently varying amplitudes and pulse widths. The INS 14 contains a power source 315 and electronics to send precise, electrical pulses to the spinal cord, brain, or neural tissue to provide the desired treatment therapy. As preferred, INS 14 provides electrical stimulation by way of pulses although other
10 forms of stimulation may be used such as continuous electrical stimulation.

The lead 12 is a small medical wire with special insulation. The lead 12 includes one or more insulated electrical conductors with a connector on the proximal end and electrical contacts on the distal end. Some leads are designed to be inserted into a patient percutaneously,
15 such as the Model 3487A Pisces-Quad® lead available from Medtronic, Inc. of Minneapolis Minnesota, and some leads are designed to be surgically implanted, such as the Model 3998 Specify® lead also available from Medtronic. The lead 12 may also be a paddle having a plurality of electrodes including, for example, a Medtronic paddle having model number 3587A. In yet another embodiment, the lead 12 may provide electrical stimulation as well as
20 drug infusion. Those skilled in the art will appreciate that any variety of leads may be used to practice the present invention.

The lead 12 is implanted and positioned to stimulate a specific site in the spinal cord or the brain. Alternatively, the lead 12 may be positioned along a peripheral nerve or adjacent neural tissue ganglia like the sympathetic chain or it may be positioned to stimulate muscle
25 tissue. The lead 12 contains one or more electrodes (small electrical contacts) through which electrical stimulation is delivered from the INS 14 to the targeted neural tissue. If the spinal cord is to be stimulated, the lead 12 may have electrodes that are epidural, intrathecal or placed into the spinal cord itself. Effective spinal cord stimulation may be achieved by any of these lead placements.

Although the lead connector can be connected directly to the INS 14, typically the lead connector is connected to a lead extension 20 which can be either temporary for use with an ENS 25 or permanent for use with an INS 14. An example of the lead extension 20 is Model 7495 available from Medtronic.

5 The ENS 25 functions similarly to the INS 14 but is not designed for implantation. The ENS 25 is used to test the efficacy of stimulation therapy for the patient before the INS 14 is surgically implanted. An example of an ENS 25 is a Model 3625 Screener available from Medtronic.

10 The physician programmer 30, also known as a console programmer, uses telemetry to communicate with the implanted INS 14, so a physician can program and manage a patient's therapy stored in the INS 14 and troubleshoot the patient's INS system. An example of a physician programmer 30 is a Model 7432 Console Programmer available from Medtronic. The patient programmer 35 also uses telemetry to communicate with the INS 14, so the patient can manage some aspects of her therapy as defined by the physician. An example of a patient
15 programmer 35 is a Model 7434 Itrel® 3 EZ Patient Programmer available from Medtronic.

Those skilled in the art will appreciate that any number of external programmers, leads, lead extensions, and INSs may be used to practice the present invention.

20 Implantation of an Implantable Neuro Stimulator (INS) typically begins with implantation of at least one stimulation lead 12 usually while the patient is under a local anesthetic. The lead 12 can either be percutaneously or surgically implanted. Once the lead 12 has been implanted and positioned, the lead's distal end is typically anchored into position to minimize movement of the lead 12 after implantation. The lead's proximal end can be configured to connect to a lead extension 20. If a trial screening period is desired, the temporary lead extension 20 can be connected to a percutaneous extension with a proximal end
25 that is external to the body and configured to connect to an External Neuro Stimulator (ENS) 25. During the screening period the ENS 25 is programmed with a therapy and the therapy is often modified to optimize the therapy for the patient. Once screening has been completed and efficacy has been established or if screening is not desired, the lead's proximal end or the lead extension proximal end is connected to the INS 14. The INS 14 is programmed with a therapy

and then implanted in the body typically in a subcutaneous pocket at a site selected after considering physician and patient preferences. The INS 14 is implanted subcutaneously in a human body and is typically implanted near the abdomen of the patient.

The above preferred embodiment for the placement of the INS 14 within the lower left abdominal region 6 of the patient is further illustrated in Figure 2C. Other preferred embodiments for the placement of stimulator 1 within a human patient is further shown in Figures 2A, 2B, and 2D. As shown in Figure 2A, the INS 14 can be implanted in a pectoral region 4 of the patient. As shown in Figure 2B, the INS 14 can be implanted in a region 5 behind the ear of a patient, and more specifically in the mastoid region. As shown in Figure 2D, the INS 14 can be placed in the lower back or upper buttock region 7 of the patient. The INS 14 is discussed in further detail herein.

The physician periodically uses the physician programmer 30 to communicate with the implanted INS 14 to manage the patient therapy and collect INS data. The patient uses the patient programmer 35 to communicate with the implanted INS 14 to make therapy adjustment that have been programmed by the physician, recharge the INS power source 315, and record diary entries about the effectiveness of the therapy. Both the physician programmer 30 and patient programmer 35 have an antenna or coil locator that indicates when the telemetry head is aligned closely enough with the implanted INS 14 for adequate telemetry.

Optionally, the neurostimulation system may include a sensor 25 to provide closed-loop feedback control of the INS 14. For example, the INS 14 may receive feedback instructions from an external component, which processes a recorded signal from the sensor 25 and sends instruction to signal generator via antenna.

Figure 3 is a schematic block diagram of an INS 14 in accordance with a preferred embodiment of the present invention. The implantable medical device generally includes a processor 335 with an oscillator 330, a calendar clock 325, memory 340, and system reset 345, a telemetry module 305, a recharge module 310, a power source 315, a power management module 320, a therapy module 350, and a therapy measurement module 355. Other components of the INS 14 can include, for example, a diagnostics module (not shown). All components except the power source 315 can be configured on one or more Application

Specific Integrated Circuits (ASICs) or may be one or more discrete components, or a combination of both. Also, all components except the oscillator 330, the calendar clock 325, and the power source 315 are connected to bi-directional data bus 327 that is non-multiplexed with separate address and data lines.

5 The processor 335 is synchronous and operates on low power such as a Motorola 68HC11 synthesized core operating with a compatible instruction set. The oscillator 330 operates at a frequency compatible with the processor 335, associated components, and energy constraints such as in the range from 100 KHz to 1.0 MHZ. The calendar clock 325 counts the number of seconds since a fixed date for date/time stamping of events and for therapy control
10 such as circadian rhythm linked therapies. The memory 340 includes memory sufficient for operation of the INS 14 such as volatile Random Access Memory (RAM) for example Static RAM, nonvolatile Read Only Memory (ROM), Electrically Erasable Programmable Read Only Memory (EEPROM) for example Flash EEPROM, and register arrays configured on ASICs. Direct Memory Access (DMA) is available to selected modules such as the telemetry module
15 305, so the telemetry module 305 can request control of the data bus 327 and write data directly to memory 340 bypassing the processor 335. The system reset 345 controls operation of ASICs and modules during power-up of the INS 14, so ASICs and modules registers can be loaded and brought on-line in a stable condition.

 The telemetry module 305 provides bi-directional communications between the INS 14
20 and the external programmers 30 or 35. The telemetry module 305 generally comprises a telemetry antenna, a receiver, a transmitter, and a telemetry processor 335. Telemetry modules are generally known in the art and are further detailed in U.S. Patent No. 5,752,977, entitled "Efficient High Data Rate Telemetry Format For Implanted Medical Device" issued to Grevious et al. (May 19, 1998), which is incorporated herein by reference in its entirety.

25 Those skilled in the art will appreciate that the INS 14 may be configured in a variety of versions by removing modules not necessary for the particular configuration and by adding additional components or modules. All component of the INS 14 are contained within or carried on a housing that is hermetically sealed and manufactured from a biocompatible material such as titanium. Feedthroughs provide electrical connectivity through the housing

while maintaining a hermetic seal, and the feedthroughs can be filtered to reduce incoming noise from sources such as cell phones.

Figure 4 depicts a general operation flowchart of the INS 14. At step 405, operation of the INS 14 begins with the processor 335 receiving data from either telemetry module 305 or from an internal source in the INS 14. At step 410, the received data is stored in a memory location. At step 415, the data is processed by the processor 335 to identify the type of data and can include further processing such as validating the integrity of the data. At step 420, after the data is processed, a decision is made whether to take an action. If no action is required, the INS 14 stands by to receive data. If an action is required, the action will involve one or more of the following modules or components: calendar clock 325, memory 340, telemetry 305, recharge 310, power management 320, therapy 350, and therapy measurement 355. An example of an action would be to recharge the power source 315. At step 425, after the action is taken, a decision is made whether the action is to be communicated or "uplinked" to a patient or physician programmer 35 or 30 through the telemetry module 305. If the action is uplinked, the action is recorded in the patient or physician programmer. If the action is not uplinked, the action is recorded internally within the INS 14.

Figure 5 is a schematic block diagram of the recharge module 310 of the INS 14 in accordance with a preferred embodiment of the present invention. The recharge module 310 provides a form of closed-loop feedback control to ensure proper and efficient charging of the battery with minimized risk of damage to the battery. The recharge module 310 serves to regulate the charging rate of the power source 315 according to power source parameters. The recharge module 310 also serves to maintain INS 14 temperature within acceptable limits so any temperature rise during recharge does not create an unsafe condition for the patient. The INS 14 communicates charging status to the patient charger, so the patient charges at optimum efficiency and at a level that prevents the INS 14 from overheating yet charges the power source 315 efficiently.

The recharge module 310 generally comprises a recharge coil, an Alternating Current (AC) over-voltage protection device 505, an AC to DC converter 510, a recharge regulator 515, a recharge measurement device 520, and a recharge regulation control unit 525. The recharge

module 310 charges the battery by receiving an energy transfer signal with a frequency of about 5.0 KHz to 10.0 KHz and converting the energy transfer signal into a regulated DC power to charge the INS power source 315. The recharge coil can be the same coil as the telemetry antenna if multiplexed or the recharge coil can be separate from the telemetry antenna. The
5 recharge coil may be within the INS 14 housing or may be outside the INS 14 housing but connected through a cable. The AC over-voltage protection device 505 can be a Zener diode that shunts high voltage to ground and may be placed at the input or output of the AC to DC converter 510. The AC to DC converter 510 can be a standard rectifier circuit or an active rectifier having integrated or discrete component designs.

10 The recharge regulator 515 regulates the voltage received from the AC to DC converter 510 to a level appropriate for charging the power source 315. The recharge regulation control unit 525 adjusts the recharge regulator 515 in response to information from the recharge measurement device 520 and a recharge program. The recharge program is generally within the INS memory 340 and can vary based upon the type of device, type of power source 315, and
15 condition of the power source 315. The recharge measurement device 520 measures various recharge parameters of the INS 14 including, but not limited to, the input and output voltage at the recharge regulator 515, the input and output current at the recharge regulator 515, input voltage to the power source, input current to the power source, power source voltage, and power source charge. The recharge measurement device 520 also measures temperature of the
20 INS 14. For example, temperature of specific areas of the INS 14 may be measured including, but not limited to, the recharge coil, recharge regulator 515, power source 315, and the outer shield for the INS 14. Based upon the recharge measurement, the recharge regulation control unit 525 can increase or decrease the energy reaching the power source 315 (discussed herein).

25 Advantageously, the recharge method and apparatus of the present invention supplies a regulated voltage and current to the rechargeable power source 315. The regulated voltage and current is continually monitored during recharge. As preferred, the charge current will be controlled to be within the range of 0-100 mA, with capability to achieve 150-200 mA. During recharge under controlled current, voltage is monitored. As preferred the charge voltage will be controlled to be in the range of 50 mV - 5.0 V with a maximum being in the range of 6.0 V.

During recharge under controlled voltage, the current supply is monitored and tapered to prevent the risk of damage to the power source 315. Further, the temperature sensing may further limit the charge current threshold in the case of more than a certain rise in temperature in the INS 14. Moreover, the recharge module 310 protects the power source 315 from sudden bursts of energy from an outside source, known or unknown. Even further, the present invention provides for more efficient recharge, namely provides information as to whether recharging energy can be gradually increased to more efficiently recharge the power source 315. Still further, the INS 14 continues to deliver therapy during the recharge process without affecting the stimulation pulse.

Figure 6 is a flow chart depicting the operation of the recharge module 310 in accordance with a preferred embodiment of the present invention. This process may be stored as a recharge program that is run by processor 335 and stored in memory 340. At step 605, the recharging process for the INS 14 begins with the patient or the physician, using an external patient programmer 35 or physician programmer 30, placing a telemetry head containing the recharge coil near the INS 14. Both the patient and physician programmers 30 and 35 have an antenna or coil locator that indicates when the telemetry head is aligned closely enough with the implanted INS 14 for adequate telemetry and charge coupling. A coil (not shown) of the external component creates a magnetic field that a coil of the INS 14 receives. The external component also emits command signals to the antenna of the INS 14. These received command signals are demodulated by the telemetry unit 305 and communicated to the processor 335. The processor 335 activates the proper control for the inductor to receive the RF coupled energy from the inductor of the external component. The magnetic recharge energy may then be delivered from the external programmers 30 or 35 to the INS 14.

In the event that the power source 315 is almost depleted of energy, the power source 315 may not have sufficient energy to provide the feedback control. In this event, the external component may deliver an initial large burst of energy to "wake up" the power source 315 and the recharge module 310. Once the recharge module 310 has energy to implement the closed-loop feedback control, the excess energy may be absorbed away from the power source 315.

At step 610, upon receiving the magnetic recharge energy by the INS 14, it is converted to from AC to DC using the AC/DC converter 510. The DC energy is also filtered to smooth out the signal and eliminate noise. The DC energy is thereby delivered to the recharge regulator 515.

5 At step 615, the DC signal is regulated by the recharge regulator 515 under control of the recharge regulation control unit 525.

At step 620, the recharge regulation control unit 525 receives as input information from the recharge measurement device 520 and program information from the INS processor 335 and memory 340. The recharge measurement device 520 provides information to the recharge regulation control unit 525 of various recharge parameters. Based on this information, the
10 recharge regulation control unit 525 adjusts the recharge energy delivered by the recharge regulator 515 to the power source 315. The recharge energy is delivered to replenish the power source 315 only if the DC voltage from the AC/DC converter 510 (V_{in}) reaches a certain minimum.

15 At step 625, the recharge regulator 515 provides recharge energy to the power source 315 in accordance with the parameters provided by the recharge regulation control unit 525. In a preferred embodiment, the external component initiates the charging process at a safe level. The power source charge current or voltage is then slowly increased. The process continues until one of the following three criterion are reached: (1) a lower limit at V_{in} is reached; (2) the
20 power source 315 is replenished to a maximum voltage; (3) the charge current to the power source 315 I_{in} reaches a maximum limit; or (4) the temperature increases more than a preset amount.

The recharge regulation control unit 525 provides feedback as to whether the power source 315 is receiving the recharge energy and whether the recharge energy is too high or too
25 low. If the recharge energy is too high, the energy can be reduced to reduce risk of damage to the power source 315. If the recharge energy is too low, it can be slowly increased to improve recharge efficiency. This can be achieved by adjusting the recharge energy that the recharge regulator 515 provides to the power source 315 and/or by notifying the external component via telemetry signaling to increase or decrease the delivery of magnetic recharge energy. The

recharge regulation control unit 525 communicates with the external component via telemetry unit 305. Those skilled in the art will appreciate that other communication techniques may be implemented. For example, the recharge regulation control unit 525 communicates with the external component by modulating the load on the recharge coil. This change in the load can then be sensed in the circuitry driving the source coil of the external component.

If the current or voltage being supplied to the power source 315 is too high, the recharge regulation control unit 525 will regulate the current down. The next time the INS 14 communicates to the external charging device, it will inform the charging device that the RF energy being sent is higher than necessary and the charging device will adjust its RF transmission of energy accordingly. Likewise, the INS 14 will communicate to the charging device to lower the supplied energy if components in the INS 14 are heating up above safe limits for the patent and/or device. Similarly, if the temperature is safe and the current and voltage levels are below the charge rate capacity of the power source 315, the INS 14 will communicate to the charging device that the RF energy can be increased.

At step 630, the recharge measurement unit 520 measures the power source 315 and provides this information to the recharge regulation control unit 525 to control the recharge regulator output energy to an appropriate energy level. Once the power source 315 is fully charged, the recharge regulation control unit 525 instructs the recharge regulator 515 to stop delivery of recharge energy to the power source 315. Optionally, a signal may also be provided via telemetry unit 305 notifying the external device that the power source 315 is fully recharged.

Alternative procedures for halting the charging process may also be used. For example, the processor 335 may be coupled to the charge/discharge monitor. The charge/discharge monitor may notify the processor 335 that a "fully charged" state is reached in the rechargeable power source 315. When the processor 335 determines that a "fully charged" state is reached in the rechargeable power source 315, the processor 335 may then send a signal via the telemetry unit 305 and onto the external component. Upon receipt of this command signal, the external component may turn off the energy transmitted to the inductor.

In alternative embodiments, the battery recharge management system of the present invention can be used with any other implantable systems requiring a self-contained rechargeable power source, including, but not limited to, pacemakers, defibrillators, and cochlear implants. In another alternative embodiment, the battery recharge management system of the present invention may also be used to recharge an implantable diagnostic device for detecting bodily conditions of certain organs, like the brain or the heart. In yet another alternative embodiment, the battery recharge management system of the present invention can be used to recharge a drug delivery system having an implantable battery-powered pump.

Those skilled in that art will recognize that the preferred embodiments may be altered or amended without departing from the true spirit and scope of the invention, as defined in the accompanying claims. Thus, while various alterations and permutations of the invention are possible, the invention is to be limited only by the following claims and equivalents.

WE CLAIM:

1. A recharge management system for an implantable medical device comprising in combination:

- (1) a rechargeable power source within the implantable medical device;
- 5 (2) a recharge coil associated with the implantable device capable of receiving via telemetry recharge energy from an external device;
- (3) a recharge regulator receiving the recharge energy from the recharge coil and providing the recharge energy to the rechargeable power source;
- (4) a recharge measurement device monitoring at least one recharge parameter; and
- 10 (5) a recharge regulation control unit for regulating the recharge energy delivered to the power source in response to the recharge measurement device.

2. The recharge management system of claim 1, further comprising:

- (f) a telemetry unit for providing communication between the external device and
15 the recharge regulation control unit to adjust the recharge energy provided by the external device.

3. The recharge management system of claim 1, further comprising:

- (f) a processor for running a recharge program and being in communication with
20 the recharge regulation control unit.

4. The recharge management system of claim 1, further comprising:

- (f) a memory having stored therein a recharge program and being in communication
25 with the recharge regulation control unit.

5. The recharge management system of claim 1, wherein the power source is a lithium ion rechargeable battery.

6. The recharge management system of claim 1, wherein the implantable medical device is an implantable signal generator.

7. The recharge management system of claim 1, wherein the implantable medical device is an implantable drug pump.

8. The recharge management system of claim 1, wherein the implantable medical device is selected from the group consisting of a pacemaker, a defibrillator, a cochlear implant, and an implantable diagnostic device.

9. The recharge management system of claim 1, wherein the recharge parameter measured by the recharge measurement device is selected from the group consisting of input voltage to the recharge regulator, input voltage to power source, output voltage from the recharge regulator, input current to the recharge regulator, input current to the power source, output current from the recharge regulator, power source voltage, power source charge, and temperature.

10. The recharge management system of claim 1, further comprising an overvoltage protection circuit to limit the recharge energy delivered to the recharge regulator from the recharge coil.

11. The recharge management system of claim 1, further comprising an AC to DC converter for converting the recharge energy from the recharge coil.

12. The recharge management system of claim 1, wherein (c), (d), and (e) are part of at least one integrated circuit.

13. The recharge management system of claim 1, wherein (c), (d), and (e) are discrete components within the implantable device.

14. The recharge management system of claim 1, wherein (a) - (e) are contained within a single hermetically-sealed housing.

5 15. A method of recharging a power source within an implantable medical device comprising the steps of:

- (1) receiving recharge energy within the implantable medical device from an external energy source;
- (2) recharging the power source with the recharge energy;
- 10 (3) sensing at least one parameter of the implantable medical device in response to the step of recharging, wherein the parameter is selected from the group consisting input voltage to the recharge regulator, input voltage to power source, output voltage from the recharge regulator, input current to the recharge regulator, input current to the power source, output current from the recharge regulator, power source voltage, power source charge, and temperature; and
- 15 (4) regulating the step of recharging in response to the step of sensing by increasing or decreasing the recharge energy delivered to the power source.

20 16. The method of recharging of claim 15, wherein the step of receiving includes the step of converting the recharge energy from AC to DC.

17. The method of recharging of claim 15, wherein the step of regulating includes the step of increasing the recharge energy to improve recharge efficiency.

25 18. The method of recharging of claim 15, wherein the step of regulating includes the step of reducing the recharge energy to reduce the risk of damage to the power source.

19. The method of recharging of claim 15, wherein the step of regulating includes the step of reducing the recharge energy to prevent overheating of the implantable medical device.

5 20. An implantable medical device comprising in combination:

(1) a lead providing treatment therapy to a predetermined site within a body of a patient;

(2) an implantable power source; and

(3) a recharge management system for the implantable power source having:

10 1. a recharge coil associated with the implantable device capable of receiving via telemetry recharge energy from an external device;

2. a recharge regulator receiving the recharge energy from the recharge coil and providing the recharge energy to the implantable power source;

15 3. a recharge measurement device monitoring at least one recharge parameter; and

4. a recharge regulation control unit for regulating the recharge energy delivered to the implantable power source in response to the recharge measurement device.

20 21. The implantable medical device of claim 20, further comprising:

(d) a telemetry unit for providing communication between the external device and the recharge regulation control unit to adjust the recharge energy provided by the external device.

22. The implantable medical device of claim 20, further comprising:

25 (d) a processor for running a recharge program and being in communication with the recharge regulation control unit.

23. The implantable medical device of claim 20, further comprising:

(d) a memory having stored therein a recharge program and being in communication with the recharge regulation control unit.

24. The implantable medical device of claim 20, wherein the power source is a lithium ion rechargeable battery.

25. The implantable medical device of claim 20, wherein the implantable medical device is an implantable signal generator.

26. The implantable medical device of claim 20, wherein the implantable medical device is an implantable drug pump.

27. The implantable medical device of claim 20, wherein the implantable medical device is selected from the group consisting of a pacemaker, a defibrillator, a cochlear implant, and an implantable diagnostic device.

28. The implantable medical device of claim 20, wherein the recharge parameter measured by the recharge measurement device is selected from the group consisting of input voltage to the recharge regulator, input voltage to power source, output voltage from the recharge regulator, input current to the recharge regulator, input current to the power source, output current from the recharge regulator, power source voltage, power source charge, and temperature.

29. The implantable medical device of claim 20, wherein the recharge management system further comprises an overvoltage protection circuit to limit the recharge energy delivered to the recharge regulator from the recharge coil.

30. The implantable medical device of claim 20, wherein the recharge management system further comprises an AC to DC converter for converting the recharge energy from the recharge coil.

5 31. The implantable medical device of claim 20, wherein the recharge management system is part of at least one integrated circuit.

32. The implantable medical device of claim 20, wherein the recharge management system comprise discrete components within the implantable medical device.

10 33. The implantable medical device of claim 20, wherein the implantable power source and the recharge management system are contained within a single hermetically-sealed housing.

15 34. A recharge management system for an implantable medical device comprising in combination:

- (1) a rechargeable power source within the implantable medical device;
- (2) circuitry within the implantable device capable of receiving via telemetry recharge energy from an external device and providing the recharge energy to the power source;
- (3) means for sensing at least one recharge parameter if the implantable medical device; and
- (4) means for regulating the recharge energy delivered to the power source in response to the means for sensing.

25 35. A recharge management system for an implantable medical device comprising in combination:

- (1) a rechargeable power source;

- (2) circuitry for receiving recharge energy from an external device and providing the recharge energy to the power source;
- (3) a recharge measurement device monitoring at least one recharge parameter; and
- (4) a telemetry unit for providing measurement information of the recharge parameter from the recharge measurement device to an external component.

36. A recharge management system for an implantable medical device comprising in combination:

- (1) a rechargeable power source within the implantable medical device;
- (2) a recharge regulator receiving the recharge energy from a recharge coil and providing the recharge energy to the rechargeable power source;
- (3) a temperature sensor monitoring the temperature of the medical device; and
- (4) a control unit for regulating the recharge energy delivered to the power source in response to the response to the temperature sensor,

whereby the recharging of the medical device may be monitored to ensure against overheating.

37. The recharge management system of claim 36, wherein the sensor measures a portion of the medical device selected from the group consisting of the recharge coil, the recharge regulator, the power source, and an outer shield of the medical device.

1/6
INS ENVIRONMENT

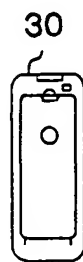
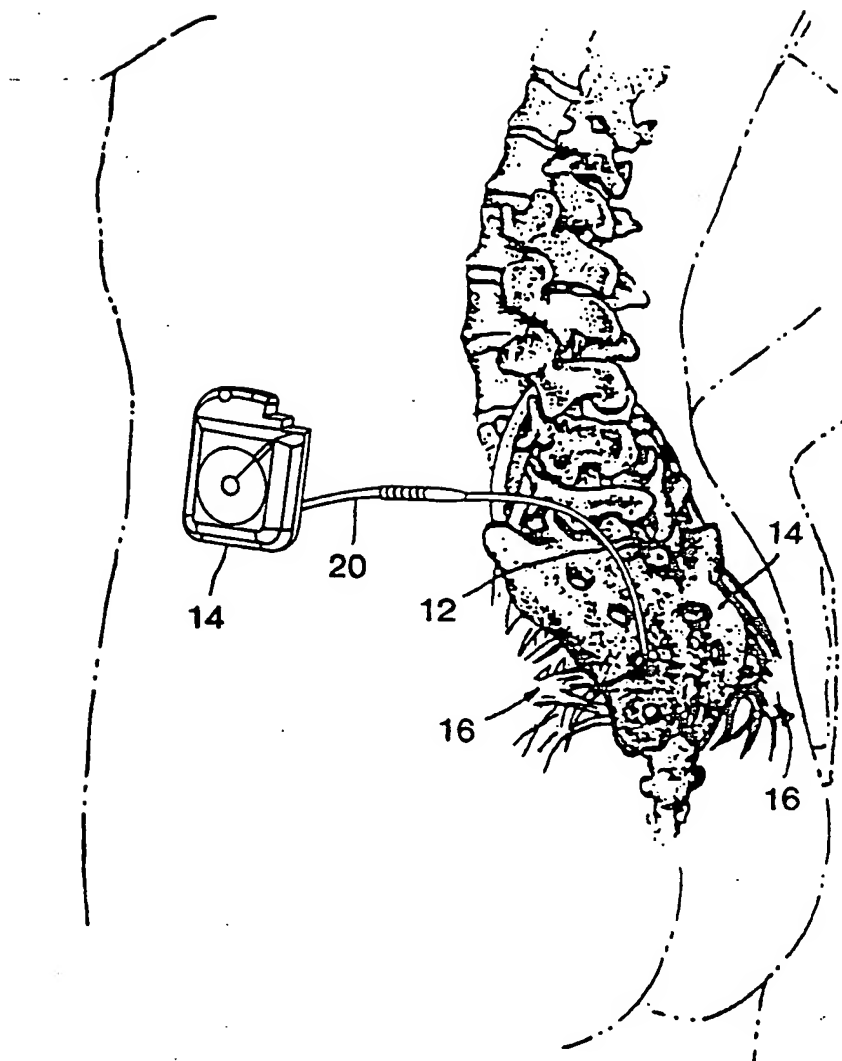


FIG. 1

SUBSTITUTE SHEET (RULE 26)

2 / 6

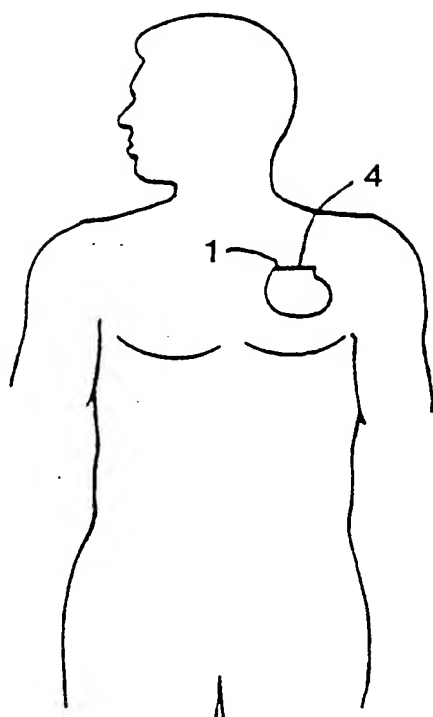


FIG. 2A

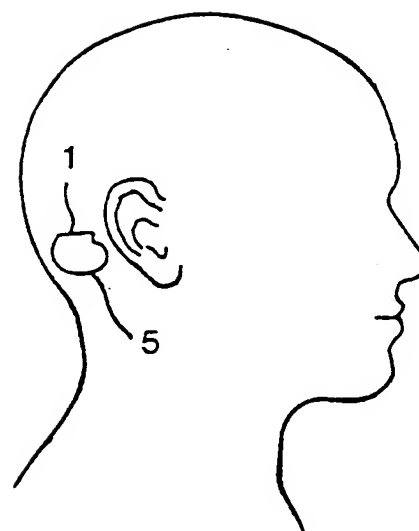


FIG. 2B

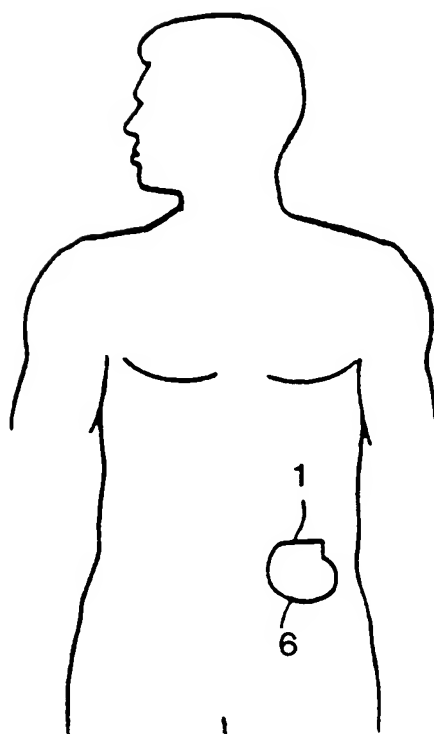


FIG. 2C

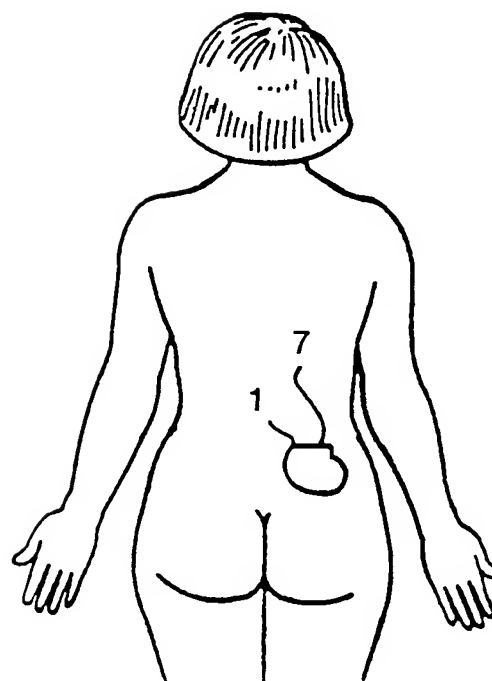
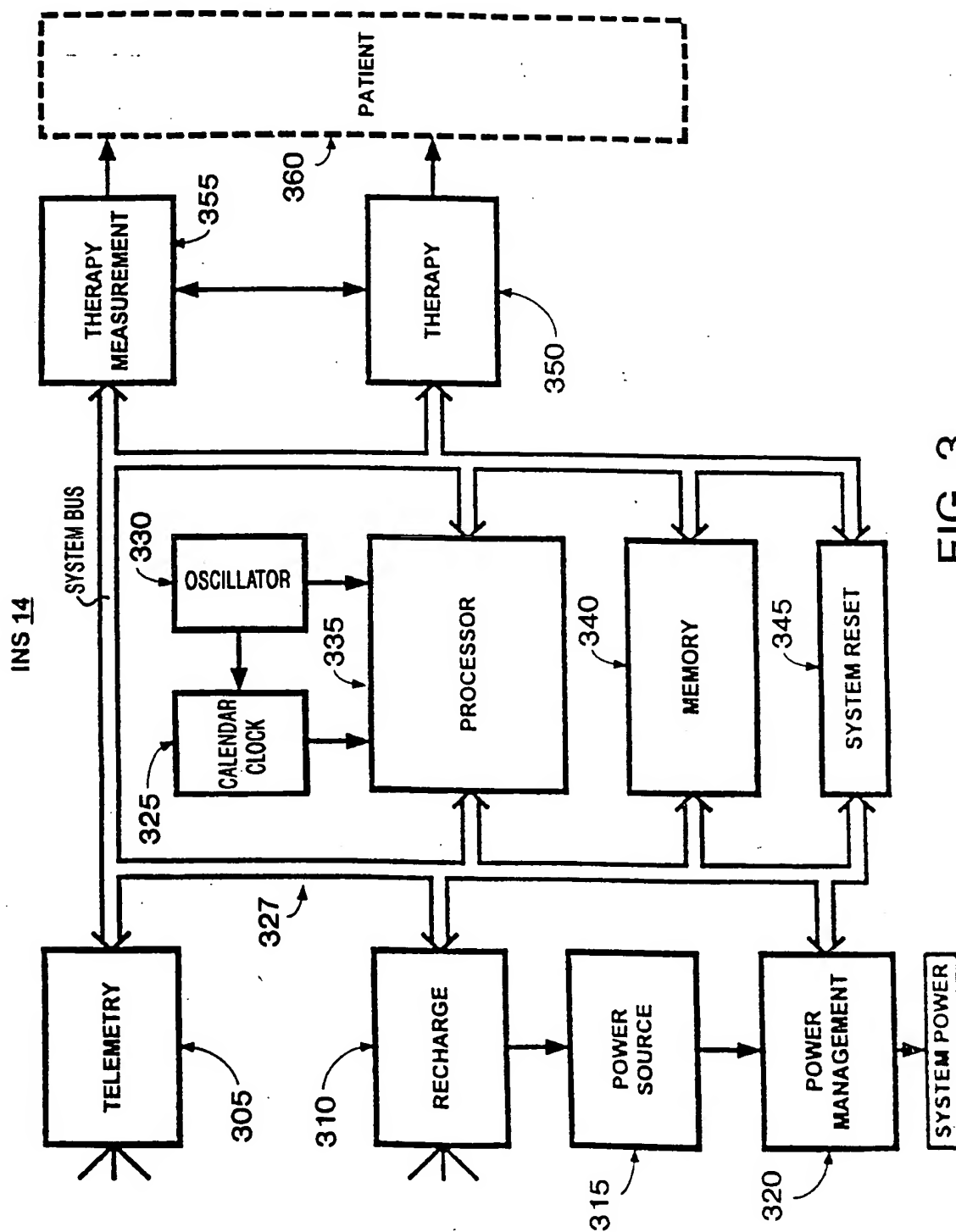


FIG. 2D



4 / 6

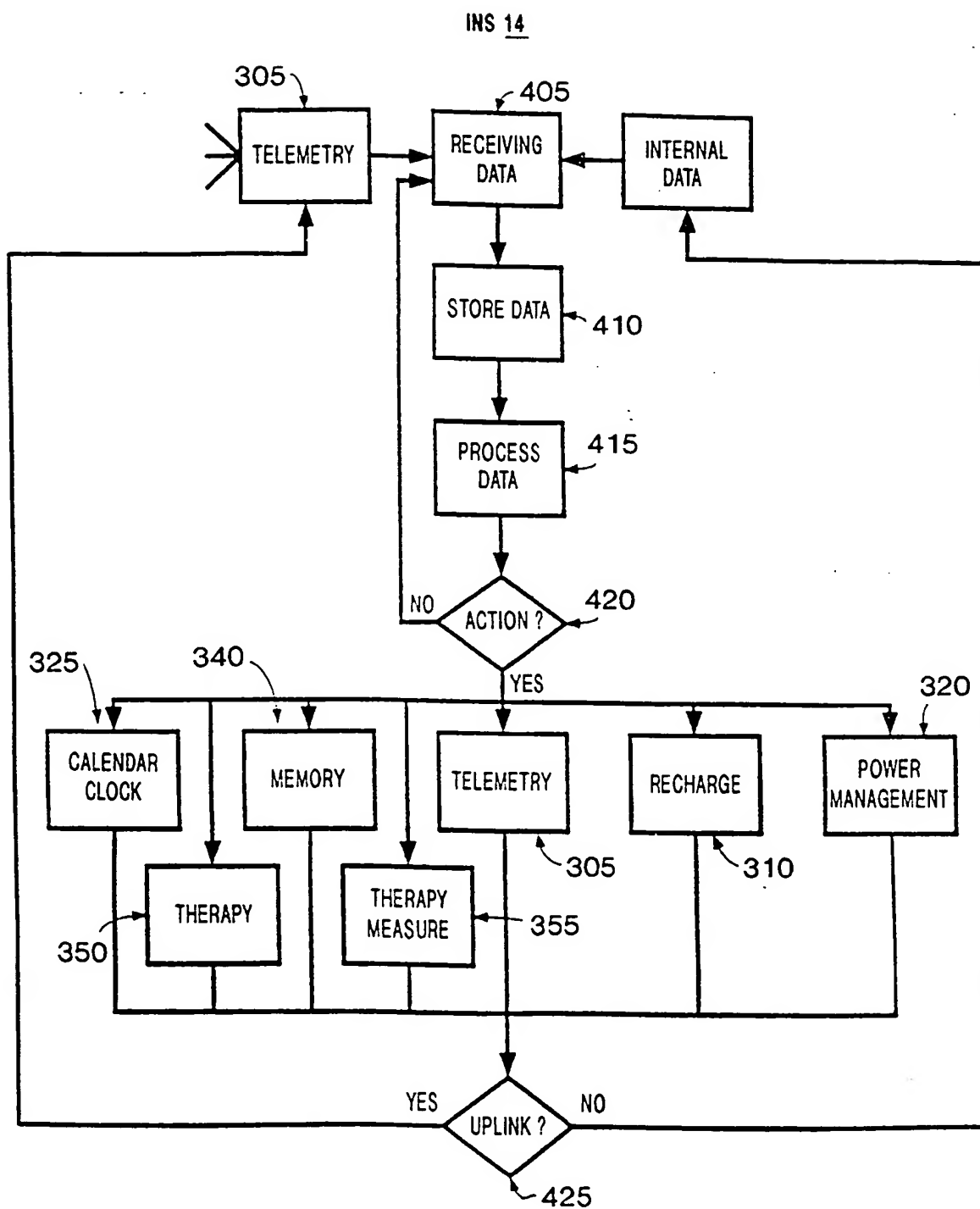
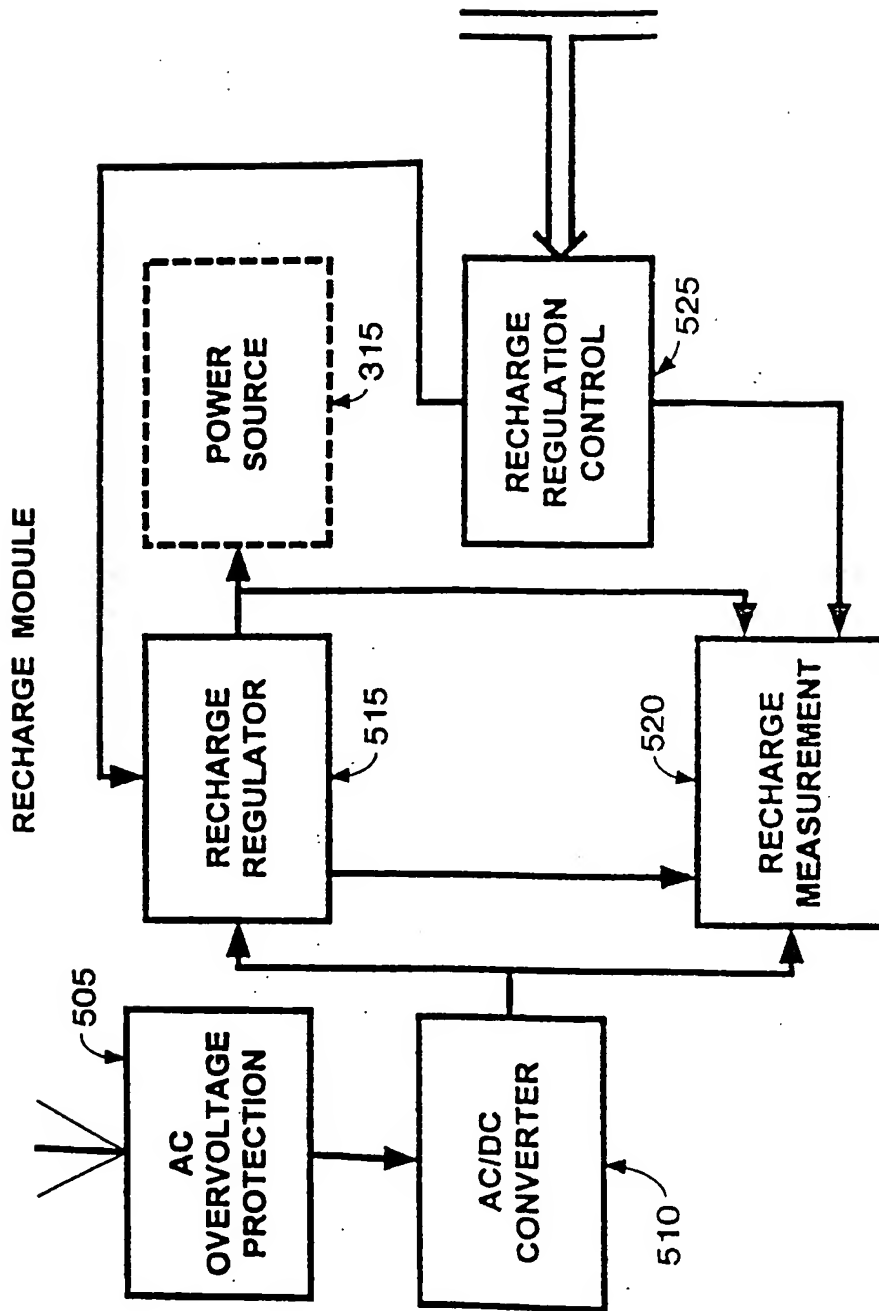


FIG. 4



310

FIG. 5

6 / 6
RECHARGE MODULE

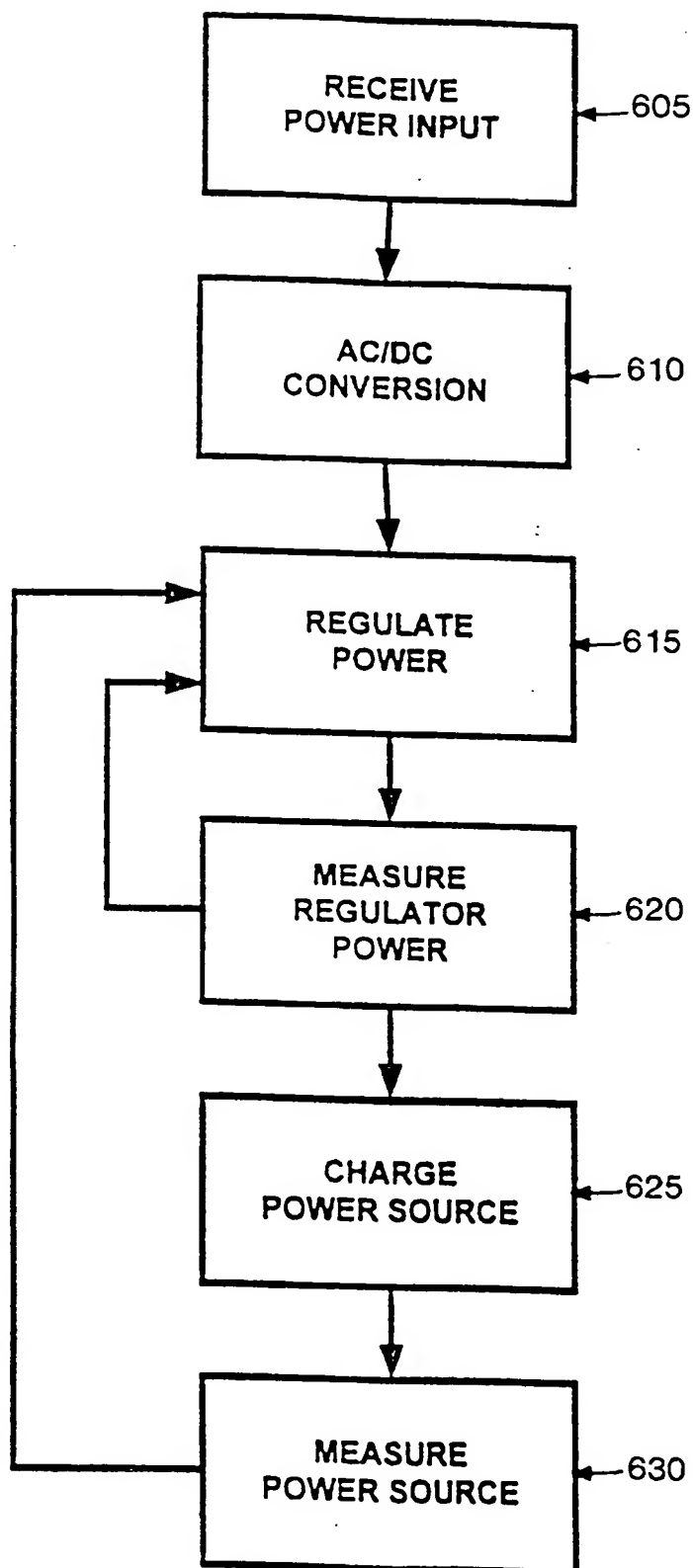


FIG. 6

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/01694

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61N1/378

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	US 5 733 313 A (JIMENEZ OSCAR ET AL) 31 March 1998 (1998-03-31) column 8, line 33 -column 9, line 18 --- -/--	1-3, 6-11, 14-22, 25-30, 33-37 4,5,12, 13,23, 24,31,32

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

27 April 2001

Date of mailing of the international search report

09/05/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.
Fax: (+31-70) 340-3016

Authorized officer

Grossmann, C.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/01694

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 37926 A (SCHULMAN JOSEPH H ; GORD JOHN C (US); DELL ROBERT DAN (US); MANN AL) 3 September 1998 (1998-09-03)	1,2,5,6, 8-12, 14-16, 18-21, 24,25, 27-31, 33-35
A	page 9, line 33 -page 10, line 19 page 13, line 45 -page 14, line 8 ---	3,12,17, 22,32,36
X	US 5 876 425 A (SCHULMAN JOSEPH.H ET AL) 2 March 1999 (1999-03-02)	1,2,6,8, 9,12-15, 17,20, 21,25, 27,28, 31-35
A	column 3, line 60 -column 4, line 14 column 6, line 19-59 ---	3,11,16, 18,22, 30,36
X	WO 98 11942 A (SULZER INTERMEDICS INC) 26 March 1998 (1998-03-26)	1-3,6,8, 9,11, 14-22, 25,27, 28,30, 33-35
A	page 5, line 2-31 -----	4,5,12, 13,23, 24,29, 31,32,36

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/01694

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US 5733313	A	31-03-1998	NONE		
WO 9837926	A	03-09-1998	AU	6667698 A	18-09-1998
			EP	1011792 A	28-06-2000
			US	6185452 B	06-02-2001
			US	6208894 B	27-03-2001
			US	6164284 A	26-12-2000
US 5876425	A	02-03-1999	US	5776172 A	07-07-1998
			US	5531774 A	02-07-1996
			US	5603726 A	18-02-1997
			US	5938691 A	17-08-1999
			US	5569307 A	29-10-1996
			US	5522865 A	04-06-1996
			US	5609616 A	11-03-1997
WO 9811942	A	26-03-1998	US	5702431 A	30-12-1997
			EP	0934101 A	11-08-1999